



Forms

Replacement Forms by State

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For states who have adopted the NAIC Replacement form, this form is required on all applications submitted whether the sale is a replacement or not. The chart below details which states require the NAIC Replacement form and which states have not yet adopted the NAIC Replacement model and will require their own form. The chart also contains a link to the required replacement form. Please note, all forms listed are required (except per disclosures below).

State	Replacement Requirement	Form
AK	NAIC Replacement Form	6779Z¹
AL	NAIC Replacement Form	6779Z^{1,2}
AZ	NAIC Replacement Form	6779Z¹
AR	NAIC Replacement Form	6779Z¹ & 12339Z-AR
CA	Required for external replacement	6779Z-Z & 13733Z-04³
CO	NAIC Replacement Form	6779Z¹
CT	Current company practice requires form (not required by state)	6779Z
DE	Required for external replacements	6779Z-DE
DC	Current company practice requires form (not required by state)	6779Z
FL	State specific replacement form	6779Z-FL , 6153Z , 6153Z-A & 19164Z⁴
GA	Life policy to annuity requires form No form required for annuity policy to annuity	6779Z
HI	NAIC Replacement Form	6779Z¹
ID	State specific replacement form	6779Z-ID
IL	State specific forms required for external replacements	6779Z-IL-A & 6779Z-IL-B
IN	State-specific replacement form	6779Z-IN
IA	NAIC Replacement Form	6779Z¹
KS	State specific replacement form	6779Z-KS-B⁵
KY	NAIC Replacement Form	6779Z¹
LA	NAIC Replacement Form	6779Z¹
ME	NAIC Replacement Form	6779Z¹
MD	NAIC Replacement Form required for external replacements	6779Z¹
MA	State specific form required for external replacements	6779Z-MA
MI	Required for external replacements	6779Z
MN	State specific form required for external replacement	6779Z-MN
MS	NAIC Replacement Form	6779Z¹



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MO	State specific required for external replacements	6779Z-MO
MT	NAIC Replacement Form	6779Z¹
NE	NAIC Replacement Form	6779Z¹
NV	State specific replacement forms required	6779Z-NV & 12339Z-NV
NH	NAIC Replacement Form	6779Z¹
NJ	NAIC Replacement Form	6779Z¹
NM	NAIC Replacement Form	6779Z¹
NC	NAIC Replacement Form	6779Z¹
ND	Current company practice requires form (not required by state)	6779Z
OH	NAIC Replacement Form	6779Z^{1,6}
OK	State specific replacement form	6779Z-OK
OR	NAIC Replacement Form	6779Z¹
PA	State specific form required for external replacements	6779Z-PA
RI	NAIC Replacement Form	6779Z
SC	NAIC Replacement Form	6779Z¹
SD	NAIC Replacement Form	6779Z¹
TN	State specific form required for external replacements	6779Z-TN
TX	NAIC Replacement Form	6779Z¹
UT	NAIC Replacement Form	6779Z¹
VT	NAIC Replacement Form	6779Z¹
VA	NAIC Replacement Form	6779Z
WA	State specific form required for external replacements	6779Z-WA¹
WV	NAIC Replacement Form	6779Z¹
WI	NAIC Replacement Form	6779Z¹
WY	State specific form required for external replacements	18270Z-WY

1. A replacement notice is required if the applicant owns an annuity OR life insurance policy.

2. Alabama Arbitration Agreement (12538Z-01): Required for all annuity sales.

3. CA Elder Disclosure (7572Z) and Disclosure and Comparison Form (13733Z-04): Required if owner or annuitant's age is 65 or older.

CA Home Visit Form (9299Z): Required to be sent to clients age 65 and older prior to first home visit.

State-specific disclosure required on North American Charter 10 and North American Precision 10.

North American Charter 14 and North American Precision 14 use standard Disclosure Statement.

4. Disclosure and Comparison form (19164Z): Required for all annuity to annuity replacement transactions only.

FL Suitability Questionnaire (19163Z): Required for all clients.

FL Accredited Investor form (14558Z): Required for clients age 65 and older for products longer than 10 years and/or surrender charges higher than 10%.

Replacement form (6153Z-A): Required upon client request.

Replacement forms (6779Z-FL and 6153Z): Required for Internal Replacements.

5. Replacement Form (6779Z-KS-A): Required for Internal Replacements.

Single Premium Disclosure form (6778Z-KS): required for North American Guarantee ChoiceSM.

6. Single Premium Disclosure form (6778Z-OH): required for North American Guarantee ChoiceSM II.

Please refer to the [New Business Forms Chart](#) for additional document requirements.

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